National Education Standards: To Be or Not to Be?

The United States has long been of two minds about national education standards.

Paul E. Barton

Should the United States have national standards for our education system? Americans have been debating this question for the last quarter of a century. If you are trying to make up your own mind and think the decision should be simple, you’re likely to be surprised.

In a system in which localities and states pay about 93 percent of the cost of schooling and the federal government has no constitutional role in education, the nation has flirted with the idea of injecting broader national control into education policy. The United States has seen historic episodes of federal or national initiatives in education. These episodes include efforts to bolster math and science capability in response to Sputnik, the quest for racial and ethnic equity through the courts, efforts to equalize resources in public schools, and an outright seizure of control in crucial areas of policy and practice through No Child Left Behind (NCLB).

In parallel efforts since the mid-1980s, policymakers have made sporadic attempts to raise achievement levels by creating both a national definition of what students should be taught and a national test to see whether schools were successfully bolstering achievement. This effort was touched off by the 1983 report of the National Commission on Educational Excellence, which found the nation “at risk,” although its corrective recommendations were directed at local and state governments.
By 1989, concern about the U.S. education system’s worldwide status had risen so high that President George H.W. Bush gathered the nation’s governors for a summit to establish goals for the United States to achieve by 2000. Other calls for national intervention included the National Council on Education Standards and Tests, the National Education Standards and Assessment Council, the Clinton administration’s Goals 2000 legislation, and that administration’s partial development of a voluntary national test, which Congress soon abandoned.

This condensed history conveys the continuing desire for action at the national level, the failure to bring that desire to fruition, and a lack of agreement and enthusiasm for this level of federal intervention. America has clearly been of two minds about bringing change to our locally based public education system.

One reason we lack agreement is that people place different degrees of value on schools’ traditional local control and diversity, show different degrees of willingness to take the risks involved, and come to different conclusions about whether accompanying challenges can be overcome. Perhaps most basic, people have different mind-sets about what “national standards” means.

What Are “National Standards”? When people say they are for or against national standards, they often harbor quite different views of what they want to create—or protest. Some people have in mind setting standards for what students should learn means aiming either too low or too high—never on target for each individual learner.

Before we decide which methods work in moving learners forward, we have to at least discuss “to what ends?” Effective standards—of any kind—uphold both our purposes and our good taste. People quite reasonably disagree on purposes. Some people may be willing to sacrifice a lot for Purpose A but very little for Purpose B. A group may agree on 10 goals for a good writing course, for instance, but disagree if forced to cut back to five. And good taste? Many books eventually declared classics were at first turned down by publishers and slammed by critics.

Every time we try to fix goals for public schooling, we end up in the same fix as the Constitutional originalists (who assume the U.S. Constitution has one immutable meaning); we sacrifice flexibility for immutability.

It’s a fact that we don’t know how to teach math well to everyone. Maybe we never will. It might be fruitful to question the assumption that “everyone” must know advanced algebra (as opposed to, say, advanced musicianship). We should also ask what it will cost those who never “get” algebra—or some other core subject—if the trend continues to make mastering algebra a roadblock to further study. Why don’t we remove the roadblock instead?

The one demand I’d like to make of U.S. schools is that they give young people the tools to lead a powerful public life: to be knowledgeable and thoughtful about democracy and the U.S. Constitution. After that, let’s provide choices where we can without polarizing the democracy we are trying to nourish.

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When people say they are for or against national standards, they often harbor different views of what they want to create. Goals vary: Some want standards to give teachers information that will improve instruction, whereas others want a national test to measure performance in an NCLB-like accountability system.

Differences also exist on the “how.” Some people clearly want standards to be national but to be created and enforced by some agency other than the federal government (although possibly with government funding); others want the federal government to develop and prescribe standards. In another version, both standards and tests would emanate from outside the federal government, but they would be used to enforce a federal accountability system—a scenario that I believe would effectively federalize such a test.

Before we can fruitfully discuss issues involved in setting national standards, everyone will have to agree on what we
are after. Although the options that individuals or groups might propose would still entail differing challenges, issues, and values, all parties would at least then be on the same page.

**Surprising Variation**

One complication to developing standards is the extensive variation in our education landscape. A 2009 report I wrote for the Educational Testing Service reveals huge variations in content of instruction, states’ performance standards, and student achievement levels across the nation. We could look at this high rate of variation in two ways: as a sign that the obstacles to standardization are insurmountable or as evidence for why a single set of standards is needed.

The amount and degree of variation throughout our education system may surprise many readers. Let’s consider three major areas of variation: (1) the content of instruction from place to place, (2) the performance standards states have set, and (3) student achievement.

**Variation in Content**

Throughout U.S. history, certain forces have worked to produce uniformity in curriculum, and others have pushed toward increasing variation. Historian Daniel Boorstein has pointed out that early U.S. schoolmams taught a standard English; therefore, unlike British citizens, Americans could understand one another wherever they went in the country. McGuffey readers were widespread in schools throughout the 19th century, and standardized tests have long been in use.

One would expect textbooks to exert pressure toward uniformity. Schools in all 50 states generally choose from only a handful of textbooks for any particular subject or grade. However, there is great variation in the levels of student achievement in any grade across the country, and there are large differences among district and state prescriptions of what should be taught. So if textbook publishers are to market themselves well, they must span a wide diversity of instructional objectives. A detailed review of prescribed content for 4th grade instruction in 10 U.S. states, for instance, revealed 108 possible learning outcomes, only four of which were common to all 10 states (Reys, as cited in Beatty, 2008).

Publishers are pushed in the direction of covering a vast number of objectives. If we hope to set common standards, we must address the forces that produce this tremendous variability.

**Variation in Performance Standards**

The U.S. Secretary of Education recently said that we don’t need 50 different goalposts, a reference to NCLB’s provision that each state can set its own performance standards. So how much variation is there? A lot. Each test’s performance standard is simply a cut point on the state test that represents the level at which a test taker is deemed proficient. The stringency of standards is difficult to compare because each state has its own tests.

However, the cut points for each state test have been “mapped” onto the national score scale for the National Assessment of Educational Progress (NAEP), and the Department of Education has published tables showing where each state’s cut point falls on that scale. The percentage of students meeting or exceeding the score that NAEP has set as representing proficiency ranges from 18 percent in Hawaii and Mississippi to 38 percent in New Jersey (Barton, 2009, p. 18).

States differ greatly, of course, in average income, the richness of students’ experiences before they start school, and the size of the state’s tax base. Do these differences account for some of the variation in how high they set their requirements for student achievement? One way to examine this would be to map the cut points on each state’s test onto that state’s own NAEP scale rather than the national NAEP scale to see how states compare in reaching their own cut points for their student populations. I did this mapping and found that the disparity on this basis was greater, not less: The
percentage of students reaching or exceeding the cut point ranges from 30 percent in South Carolina to 88 percent in North Carolina—two adjoining states with identical average NAEP scores (see Barton, 2009, p. 18). So the dynamics are complicated. A lot of carpentry will be required to make all goalposts the same height.

**Variation in Achievement**

Broad variation exists in student achievement for any particular grade level and school subject. The degree of variation makes a huge difference in the effort it will take to raise all U.S. students to the same level. Figure 1 (p. 28) provides a panorama of student scores on the reading portion of the 1990 and 2004 NAEP assessments at ages 9, 13, and 17 for all racial and ethnic subgroups. If you place a ruler across the chart at the point showing 9-year-olds scoring in the 90th percentile to see what percentile it reaches for the scores of 17-year-olds, you’ll see that the bottom fourth of 17-year-olds read no better than the top tenth of 9-year-olds.

To gauge the magnitude of this variation, consider that the spread of scores within any one grade level is as great as, or greater than, the difference in the average scores from the 4th grade to the 12th. This is a lot of variation to deal with.

**Commonality Versus Tolerance for Diversity**

The United States is a diverse society created by people from many cultures, religions, and parts of the world. We live in communities and neighborhoods with extremes in wealth and income. These extreme differences have been well tolerated by Americans because we are a land of opportunity. And, because we believe that opportunity depends a

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**Are National Standards the Right Move?**

**Chester E. Finn Jr.**

Almost every successful modern nation on this shrinking planet has national education standards of some sort. The United States needs national standards, too, at least in core subjects.

There’s no reason for 5th grade math to differ from Portland, Maine, to Portland, Oregon, or for reading to be taught differently in Miami, Ohio, than it is in Miami, Florida. Ours is a mobile society that’s developing national school “brands” (such as the Edison and KIPP schools). We have statewide virtual schools that soon will be nationwide, showing that curriculum can be uniform across state lines. Two recent Fordham Institute studies, *The Proficiency Illusion* and *The Accountability Illusion*, have demonstrated that having 50 different sets of state-specific standards has proven dysfunctional.

The United States has been edging toward national standards for a quarter century. It was the nation at risk, not just Illinois or Arizona, that the National Commission on Excellence in Education warned us about in 1983, and it was national education goals that President George H.W. Bush and the governors set in Charlottesville, Virginia, in 1989.

What has stymied orderly movement has been our lack of any suitable, trusted mechanism for setting and maintaining national standards and the assessments that must accompany them if they’re to have traction. Practically nobody wants the federal government to set and maintain the standards. And private, discipline-specific groups cannot be counted on to set standards properly; in the early 1990s, their efforts to set voluntary national standards crashed and burned. The National Assessment Governing Board, which decides what knowledge and skills the National Assessment of Educational Progress (NAEP) should measure, is a possible candidate to maintain national standards. But a lively argument can (and should) be had as to whether NAEP should evolve into a national test or remain the “external auditor.” I tend toward the latter view.

The National Governors Association and the Council of Chief State School Officers have recently taken it upon themselves to develop “common core” standards in reading/writing and math for states to adopt if they like. I believe this voluntary approach is right. Secretary of Education Arne Duncan has said Race to the Top dollars will be used to develop accompanying assessments, although we don’t yet know how or by whom. We still lack a durable, institutional arrangement for all of this, but we’re finally headed in the right direction.

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lot on education, we want to reduce inequality in our school system.

The American experience has always accommodated a great deal of disagreement about what to teach, how to teach it, and when to teach it. As late as March 2009, a panel appointed by President George W. Bush tried—again—to put to rest what the New York Times called “the long, heated debate over math teaching methods” (Lewin, 2008). Debates over how to teach reading are still divisive. Controversies over teaching evolution and other topics still flare up around the country—at times even involving literal textbook fires, such as in West Virginia in the 1970s.

All this diversity and disagreement is like a coil-spring mattress; the weight pressing on one area of the mattress is tolerated without affecting the rest of the mattress. When we try to settle basic differences about education at a national level, however, we elevate the stakes. We open the door for national-level organizations to bring pressures and counter-policies to bear. As U.S. citizens balance a desire for commonality against tolerance for differences, we face the question of how strongly we want to raise such issues to a court of national settlement.

Up the Hill Again?
Beginning in March 2009, signs emerged that supporters of national standards were making another effort to storm the hill under a new banner: Common Standards. If the word federal has become tarnished in connection with standards, so has the word national, both words suggest requirements emanating from on high, even if not from the federal government. The National Governors Association, the National Council of Chief State School Officers, Achieve, the College Board, and ACT have organized into a strong coalition. That coalition moved quickly to get most states to sign an agreement to unite around a set of common standards. At this point, this means common content standards for the subject matter to be taught at each grade level.

The starting point has been to write standards for what U.S. students should know when they leave high school to be ready for college and career. As this article went to press, the coalition had drafted standards in math and reading and was putting those drafts through a process of “validation” by a committee that the coalition appointed. The next step will be to write standards for each grade level. Then the question will be whether the coalition moves on to create a common test for each grade in math and reading and advances to other subject areas.

At this stage, there is substantial momentum. As of August 2009, 49 states had signed on. Although this “sign on” has been from the chief state school

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Will national standards encourage the pursuit of excellence in education? I think not. Rather, national standards will quickly morph into national assessments and a national system of enforcement, leading to trivialization and an emphasis on minimums. As I read about the work of the National Governors Association and the Council of Chief State School Officers, I fear that this is the direction in which we are headed.

Before we proceed with the development of national standards, we should take a closer look at Finland. Finland has a system of national standards, but it leaves assessment of students up to local communities. Before we establish national standards, we must do as Finland did and arrive at some agreement about the purposes of education in the 21st century and the kind of society we aspire to be. I find little in our discussion about standards that addresses such issues.

For bureaucrats and control-oriented managers, standards are rules. They establish minimums below which performance should not go. For democratically oriented school leaders and others who see education as the primary means of preserving “the blessings of liberty to ourselves and our posterity,” standards provide direction rather than control. They are sources of guidance rather than results to be achieved. They function more like satellites in a global positioning system than destinations on a map.

Standards should not be fixed points. Rather, they should mark a horizon. We need to understand standards as the ancient Greeks understood them: ideals to be pursued rather than pedestals upon which to stand. Excellence requires the pursuit of an ideal rather than compliance with minimum expectations.

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officials and governors, many state legislatures have been heavily involved in the standards-setting movement. It remains to be seen whether they will go along or balk. In 2007, the National Conference of State Legislatures adopted a measure against national standards that favored higher standards developed for—and by—each state.

Another element in the mix is the fact that at the end of January, states applied for a share of the $48.6 billion in economic stimulus funds to be distributed under the American Recovery and Reimbursement Act. The selection process, underway as this article goes to press, takes into account whether each applying state is engaged in collaborative efforts with other states to develop common standards—a considerable incentive to get with the program.

Learning From Experience
Years of experience with “standards-based reform” and its transformation into test-based accountability tells us that there is more trouble in Accountability City than just lack of commonality. A consideration of our current accountability landscape and our past attempts raises four questions.

How can we develop high-quality content standards and tests?
There are quality problems with current content standards, as evaluations by independent researchers and evaluators have shown. The tests that accompany them are typically “cheapies” that do not get far enough below the surface in terms of content instruction. Content standards, created through compromises among committee members, are far broader than what can be covered in a nine-month school year. And when tests are constructed that merely sample this broad content—as most do—such tests are not sensitive to the actual instruction teachers deliver. Nor do they pick up changes in achievement that follow improvements in instruction.

How can schools serve the highest and lowest achievers?
The selection of a single cut point provides incentives for teachers and schools to overfocus on students near that cut point, to avoid sanctions. Because results are reported in terms of the cut point, teachers know nothing about how students who are far below—or above—that cut point are doing.

Should we contemplate standards across a range of subjects?
Standards now concentrate on math and reading. The incentive this creates for distorting the curriculum has been widely discussed; many people are concerned about cutting back on social studies, music, and art. We must reflect on whether to extend standards to other disciplines to keep the curriculum broad.

How can we measure gains in student achievement?
We now test students at a single point in time to establish the effectiveness of schools. But that test actually measures all a student knows, including learning generated in early childhood, out of school, or even in different schools. To achieve true test-based accountability for schools, we need to measure the

FIGURE 1. Percentile Distributions of NAEP Reading Scores by Age and Racial/Ethnic Group, 1990 and 2004

* Indicates a statistically significant difference from 1990 to 2004.
Source: Data from the National Assessment of Educational Progress analyzed by Educational Testing Service.
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National standards would take U.S. education further in the wrong direction without achieving the desired outcomes. The assumption that common standards will raise American students' achievement on international tests and thus enable the United States to compete globally and close its internal achievement gaps fuels the drive toward standards. But there is no evidence that common standards will accomplish this.

The United States is one of a small number of countries that does not have a national curriculum or standards. Judging from students’ performance on international tests, countries with a decentralized curriculum do not necessarily perform worse than those with a national curriculum: Many nations with national standards perform much worse than the United States.

China is a well-known example of how establishing a national curriculum does not necessarily reduce achievement gaps. Despite years of a highly nationalized system and a homogeneous culture, China still sees significant gaps in test scores among students in different regions.

As a result of NCLB, all U.S. states have developed state standards and assessments; some have adopted common standards in core academic areas. But there is no clear evidence that these efforts have either significantly improved student achievement overall or narrowed achievement gaps.

The negative consequences of national standards are well documented. Common standards lead to distortion of the purpose of schooling and deprive students of a real education. Governments inevitably use high-stakes testing to enforce standards. Such testing forces teachers to focus on what is tested and spend less time on what is not. The focus of curriculum gets narrowed to a few subjects, and students wind up with a depressed education experience overall.

National standards stifle creativity and reduce diversity. To be creative is to be different, to deviate from the norm—but common standards demand a uniform way of thinking, learning, and demonstrating one’s learning. Standardized testing rewards those who conform and penalizes those who deviate.

Those who happen to do well on a particular assessment are often considered successful, whereas those who do less well are labeled “at-risk,” regardless of other strengths. A student who may be extremely talented in art but cannot pass the reading test in the time required, for instance, is deemed inadequate. A student who arrives at school without the skills and knowledge her classmates have is forced to fix “deficiencies” instead of developing strengths. As a result, talents are suppressed and wither. Once a standard is established, it becomes a uniform measure that’s used to include or exclude people.

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